



## UNITED STATES PATENT AND TRADEMARK OFFICE

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UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/902,856	07/10/2001	A. David Johnson	A-70170

CONFIRMATION NO. 1678

## FORMALITIES LETTER



\*OC000000006474244\*

Law Offices of Richard E. Backus  
The Monadnock Building  
Suite 490  
685 Market Street  
San Francisco, CA 94105

Date Mailed: 08/27/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

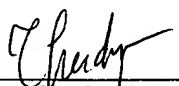
FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**

*A copy of this notice **MUST** be returned with the reply.*

  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

01/08/2002 BHBTEW 00000021 09902856 65.00 OP  
01 FC:205



*Section 4*

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

A. David Johnson et. al.

Serial No.: 09/902,856

Filing Date: 7/10/01

For: FREE STANDING SHAPE MEMORY ALLOY THIN FILM  
AND METHOD OF FABRICATION

I hereby certify that this correspondence is being deposited  
with the United States Postal Service as first class mail in an  
envelope addressed to: Assistant Commissioner for Patents,  
Washington, D.C. 20231, on Oct 18, 2001.

Signed: *Richard E. Backus*

Richard E. Backus, Reg. No. 22,701

BOX FEE - MISSING PARTS  
Customer Service Center  
Initial Patent Examination Division  
Assistant Commissioner for Patents  
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS

Sir:

In response to the Notice to File Missing Parts dated 8/27/01, enclosed is Part 2 of the  
Notice, and the following:

- Declaration For Patent Application;
- ASSIGNMENT with Recordation Form for recordal thereof and our check  
#1231 in the amount of \$40 for the recordation fee;
- Power of Attorney by Assignee
- our check #1232 in the amount of \$65 for the balance due on missing parts.

Date: Oct 18, 2001

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RE Backus  
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